

# *Lima Workshop on Perú Disaster Risk Insurance – Options & Implementation*







## Probabilistic Seismic Loss Assessment of Retrofitted Public Schools and Modern Buildings in Peru

Dr. Sandra Santa Cruz

April 3<sup>rd</sup> 2017

GERDIS-PUCP has focus on public seismic loss assessment of public infrastructure and low-cost housing since 2014 with the financial support of CIENCIACTIVA and DGI-PUCP

Typical Building	Posible Damage in Past Events	Exposure										
 <p data-bbox="189 803 569 839">School built before 1997</p>	 <p data-bbox="790 803 1110 839">Short column failure</p>	<table border="1" data-bbox="1267 465 1733 725"> <thead> <tr> <th colspan="2">School buildings built before 1997</th> </tr> </thead> <tbody> <tr> <td>Costa</td> <td>10 262</td> </tr> <tr> <td>Sierra</td> <td>22 954</td> </tr> <tr> <td>Selva</td> <td>7 101</td> </tr> <tr> <td>Total</td> <td>40 317</td> </tr> </tbody> </table> <p data-bbox="1477 762 1704 786"><i>Fuente: MINEDU- 2003</i></p> <p data-bbox="1282 803 1723 839"><b>12000 edificios tipo 780 Pre</b></p>	School buildings built before 1997		Costa	10 262	Sierra	22 954	Selva	7 101	Total	40 317
School buildings built before 1997												
Costa	10 262											
Sierra	22 954											
Selva	7 101											
Total	40 317											
 <p data-bbox="189 1296 546 1372">Low-cost departments and houses</p>	 <p data-bbox="795 1296 1087 1332">Non ductile failure</p>	<p data-bbox="1307 982 1711 1082">There is no available statistics</p>										

For each scenario

For each building

Risk indicator  
•PML  
•PAE

Losses  
•Costs: rehabilitation and repar

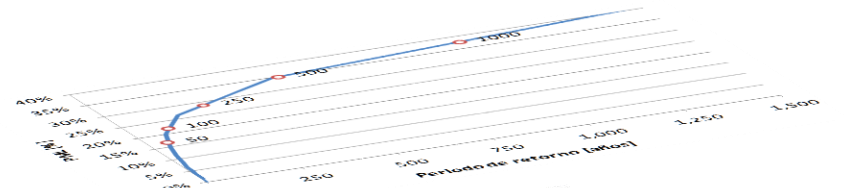
Damage  
•Damage state  
•Bussiness interruption

Struct. Response  
•Stress , deformation drift non lineal

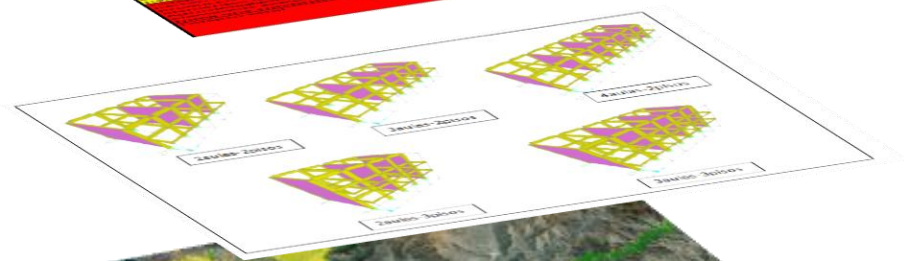
Site effects  
•Intensity modification due to local conditions

Scenarios  
•Spatial distribution of intensity

Database Stock  
•GIS  
•Tipology



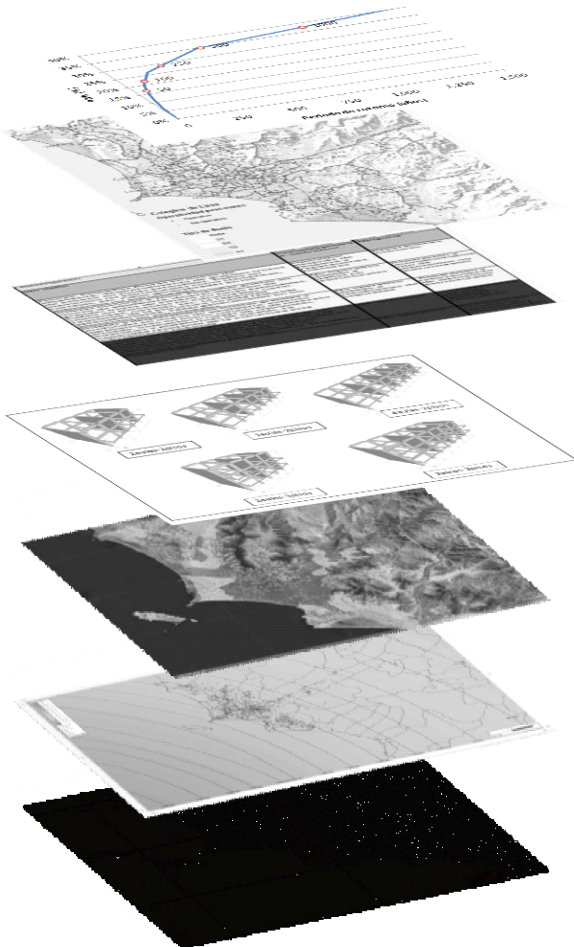
# Vulnerability, B



# Probabilistic approach

Risk indicator

- PML
- PAE



Expected annual loss, PAE and Probable maximum loss, PML associated to a return period,  $Tr$

$$PAE = \sum_{i=1}^{Nf} \int_{Moi}^{Mui} -\frac{d\lambda_i}{dM} E[B_j / M, Ri] dM$$

Hazard

Vulnerability

$$\frac{1}{Tr} = v(PML) = \sum_{i=i}^{Nf} \int_{Moi}^{Mui} -\frac{d\lambda_i}{dM} \Pr(B > PML / M, Ri) dM$$

# Probabilistic evaluation of seismic risk of schools in the city of Lima

## Objectives:

To estimate probable losses in education infrastructure

Sandra Santa Cruz, Juan Palomino

Partially founded by World Bank

A-PRE-B



PREF



MS-PRE-B



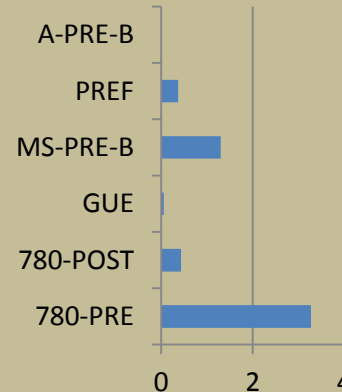
GUE



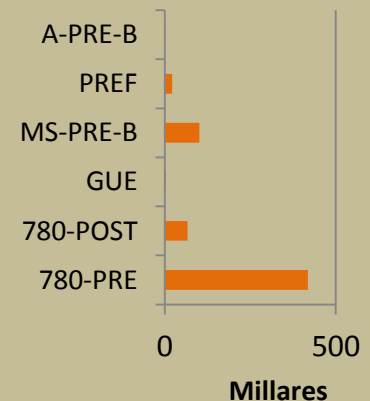
780-POST



780-PRE

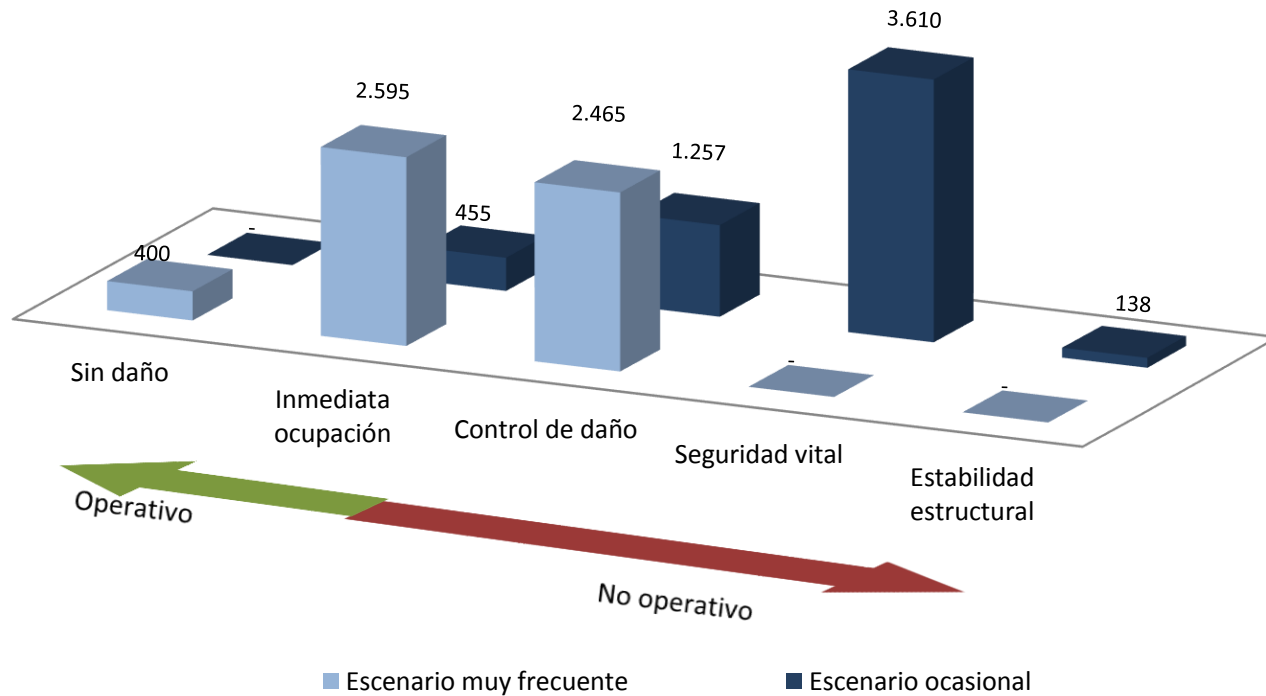


Número de edificaciones



Número de alumnos

After an occasional event, (similar to Pisco, 2007) 92% of schools will be non –operative



Escenario	Intensidad en la Ciudad de Lima (PGA en gals)	Periodo de retorno
Muy frecuente	70-100	10 años
Ocasional	200-250	75 años

Most of the schools are in high risk due to its structural system

Tipo	Valor de Reposición (millones de nuevos soles)	% del total	PAE (miles de nuevos soles)	% del total	PAE promedio (al millar)
A-PRE-B	S/. 5	0%	S/. 223	0%	49.4
GUE	S/. 17	1%	S/. 812	2%	47.3
MS-PRE-B	S/. 255	18%	S/. 11,181	24%	43.9
POST-780	S/. 152	11%	S/. 239	1%	1.6
PRE-780	S/. 937	66%	S/. 32,703	70%	34.9
PREF-PRE-B	S/. 47	3%	S/. 1,431	3%	30.2
<b>TOTAL</b>	<b>S/. 1,413</b>	<b>100%</b>	<b>S/. 46,590</b>	<b>100%</b>	<b>33.0</b>

Zona	POST	PRE	GUE	MS-PRE-B	A-PRE-B	PREF-PRE-B
<b>I</b>	1.2	30.7	38.6	38.0	49.4	27.7
<b>II</b>	2.0	40.7	53.4	49.7	-	32.7
<b>III-IV</b>	3.2	53.3	72.7	66.1	-	38.0

# PROPOSED UPDATE TO THE NATIONAL BUILDING REGULATION TO INCORPORATE INCREMENTAL RETROFITTING TO SCHOOL INFRASTRUCTURE TYPE 780 BUILT BEFORE 1997

## Objectives:

- Performance evaluation of three retrofitting options
- Guidelines for analysis, design and construction of three retrofitting options

Team PUCP: Alejandro Muñoz, Sandra Santa Cruz, Nicola Tarque, Gladys Villa García, Gustavo Loa, Pamela Ramírez.

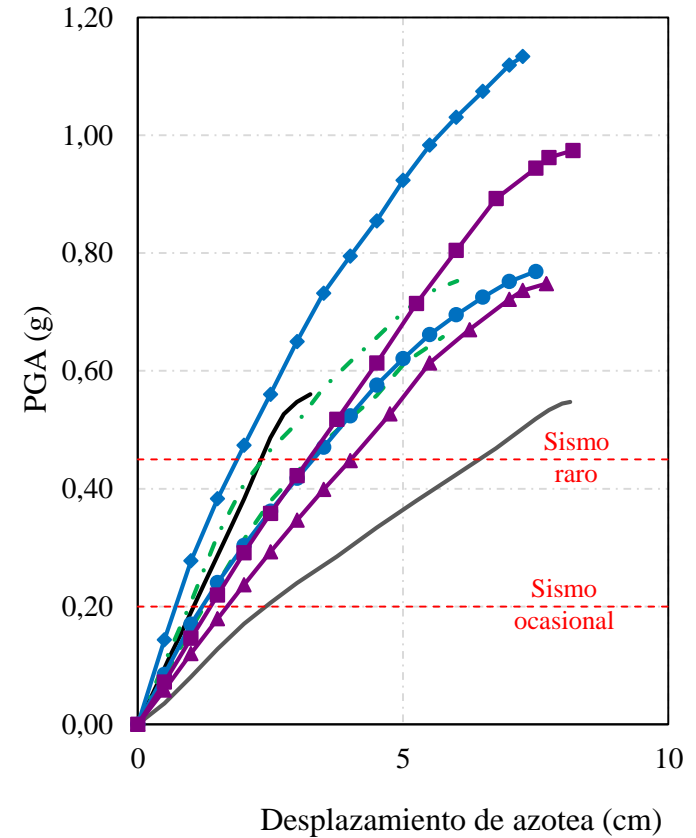
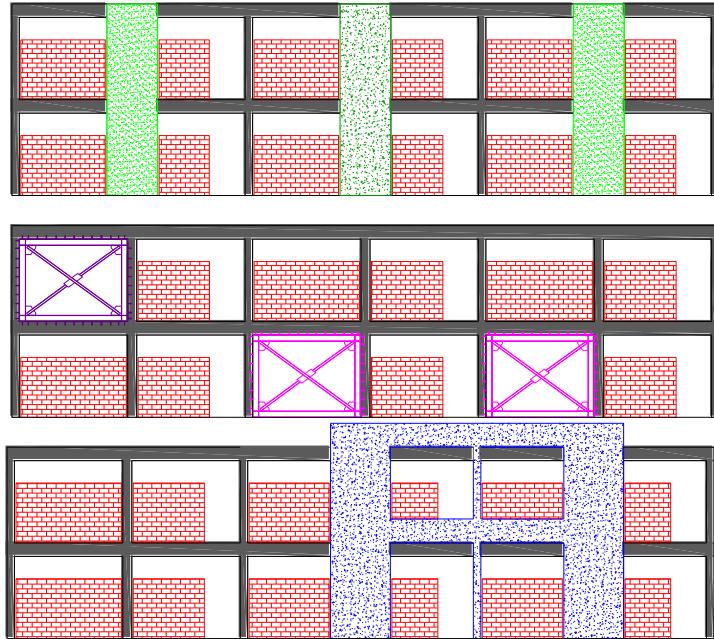
Team UNI: Carlos Zavala, Miguel Diaz, Miguel Estrada, Mary Criss Suarez

Partially founded by World Bank and CIENCIACTIVA. Universidad de los Andes





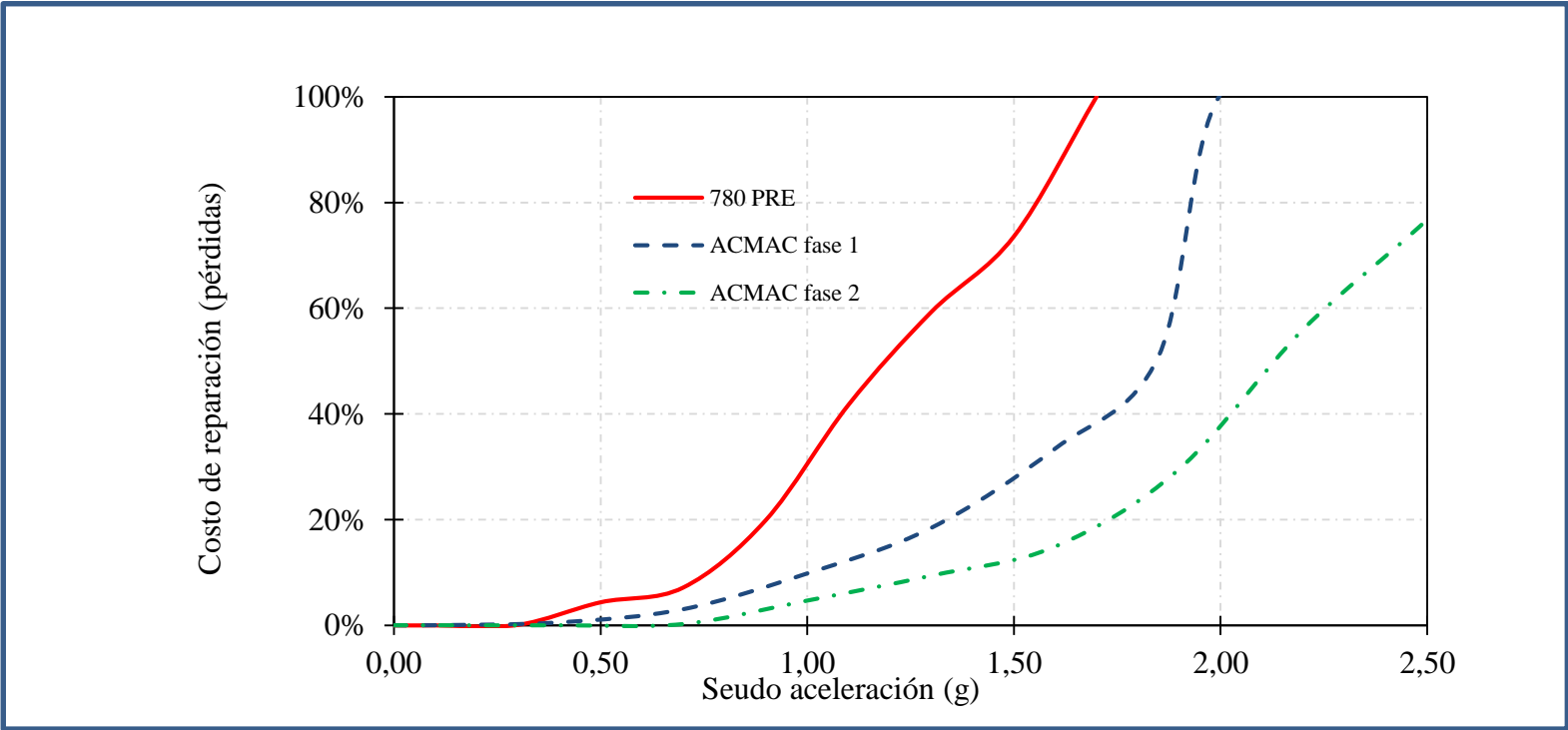
# Three incremental retrofitting projects were analyzed



## Performance objectives for two phases of intervention

Tipo 780 Pre Estructura esencial		Estado de daño				
		Operacional	Funcional	Seguridad de vida	Prevención al colapso	Colapso
Nivel de intensidad sísmica	Sismo ocasional TR = 75 años PGA = 0.20 g	2	1			
	Sismo raro TR = 450 años PGA = 0.45 g		2	1		

Expected losses are reduced in moderate to severe earthquakes



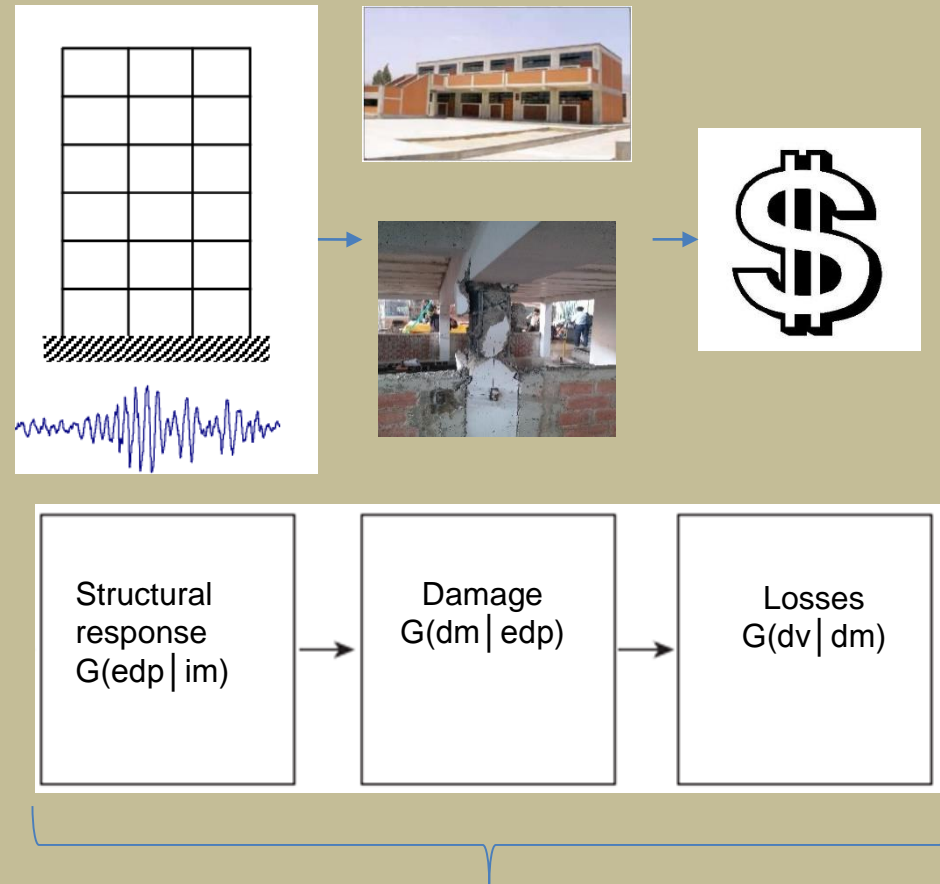
# Seismic performance analysis of typical school buildings built after 1997

## Objectives:

To estimate the vulnerability function of typical public school buildings in Peru built after 1997

Paper presented in 16WCEE Jan 2017  
Santiago de Chile

Sandra Santa Cruz, Nicola Tarque,  
Mauro Niño, Roberto Chacón e Israel Paz



$$E[dv | im] = \int_{edp} E[dv | edp] dG(edp | im)$$

# Losses were estimated from repair cost of concrete and masonry elements

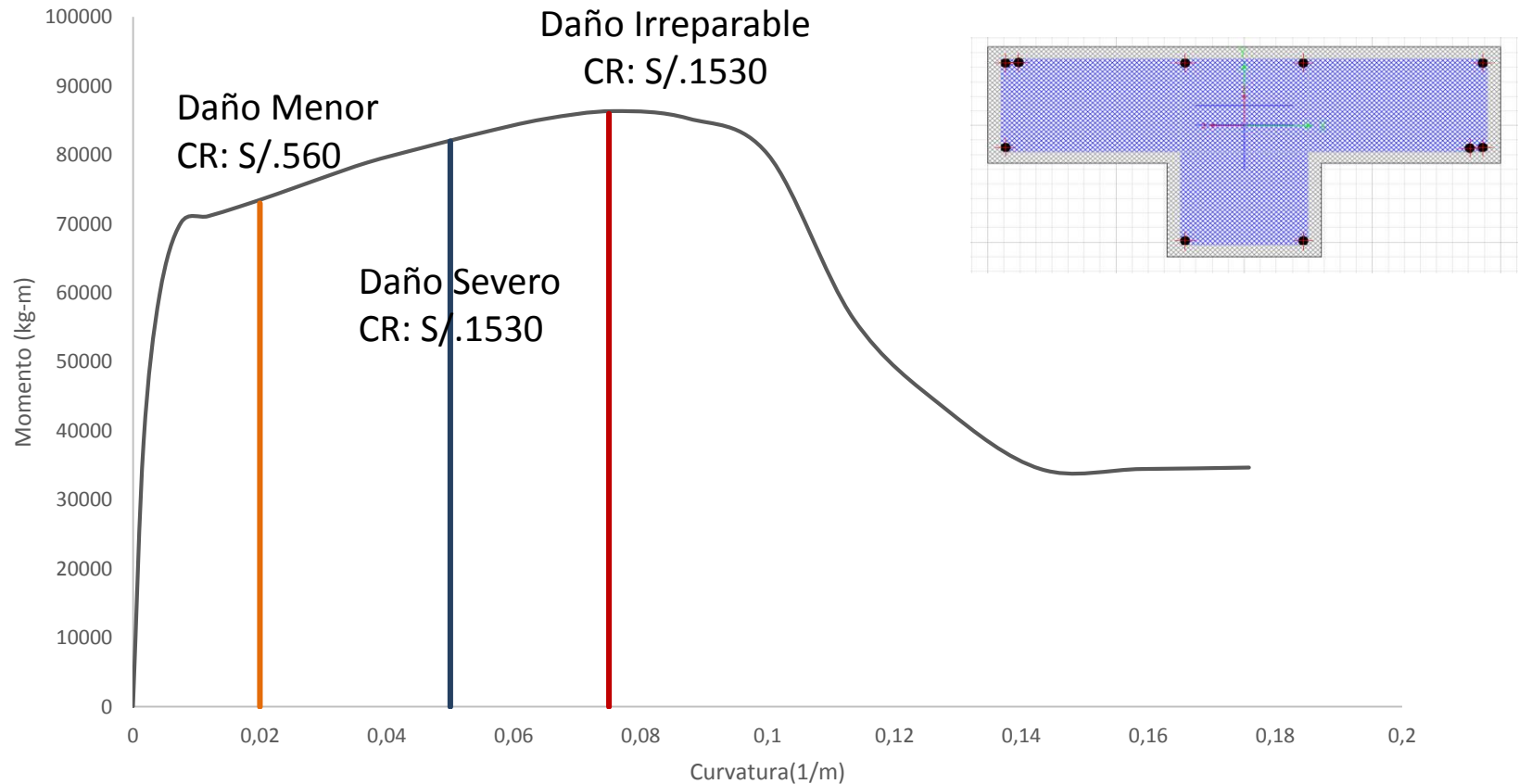
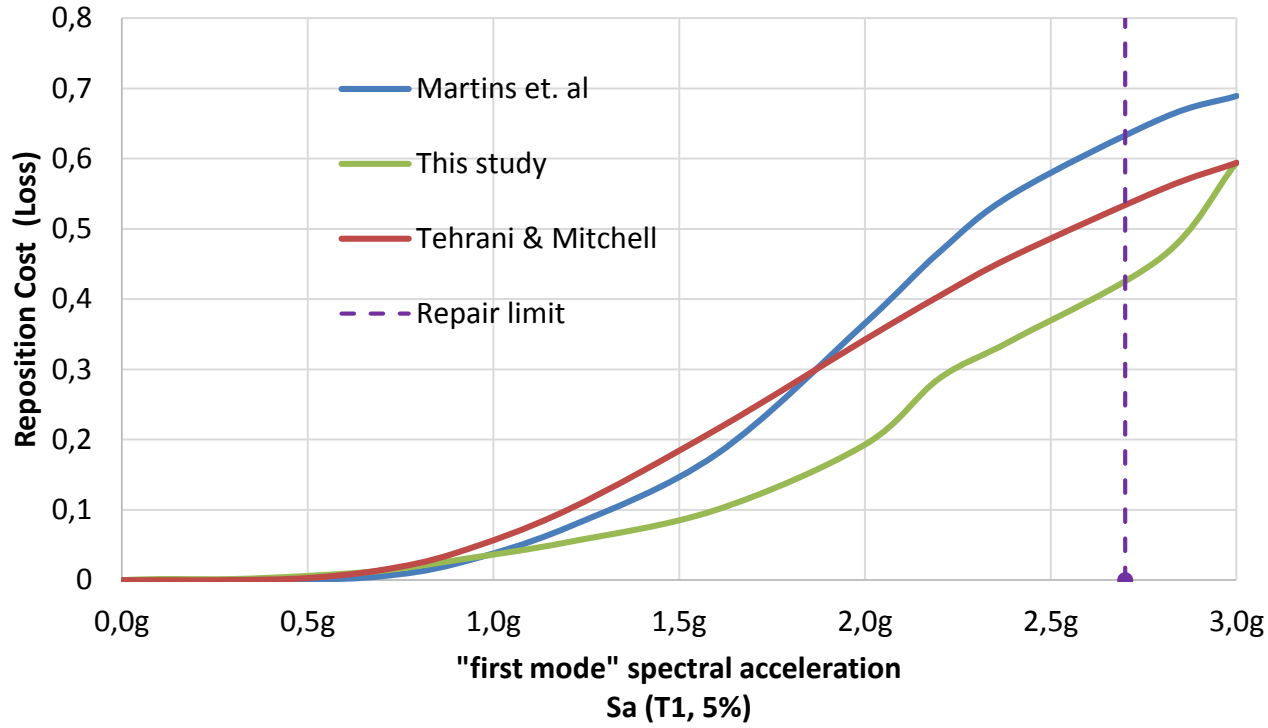


Diagrama momento curvatura de la columna T  
CR: Costo de Reparación

# Vulnerability function

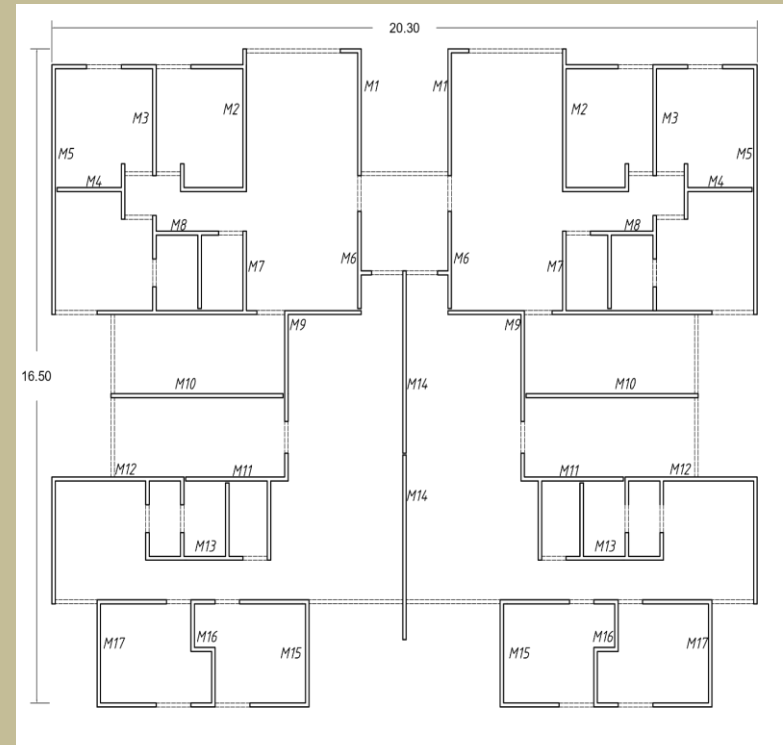


# Seismic vulnerability of building with walls with limited ductility en the coast of Peru

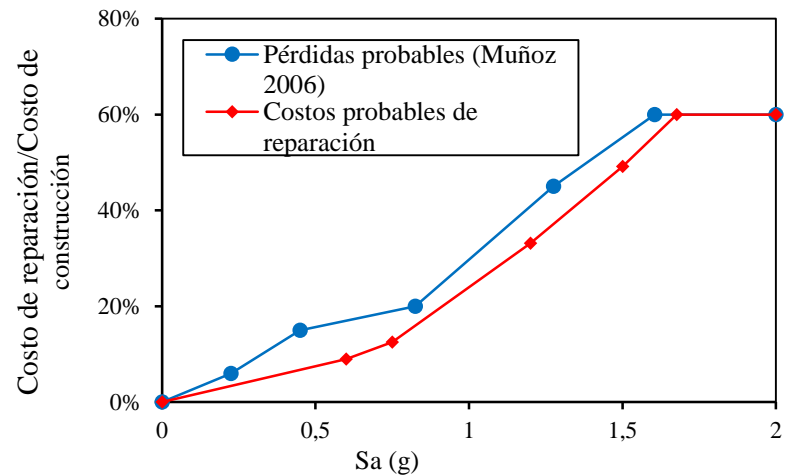
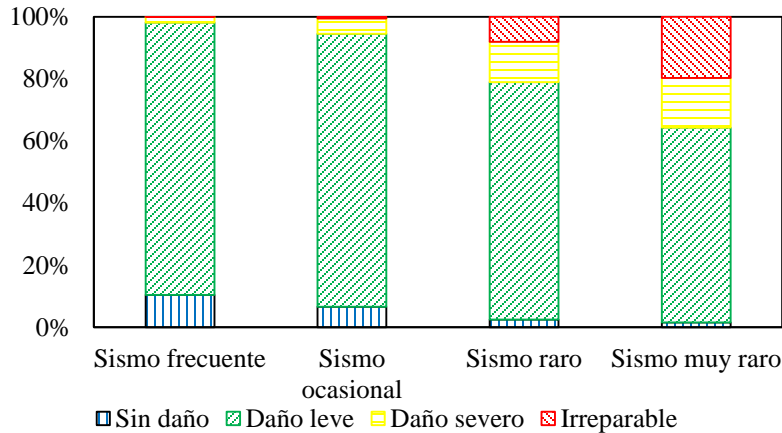
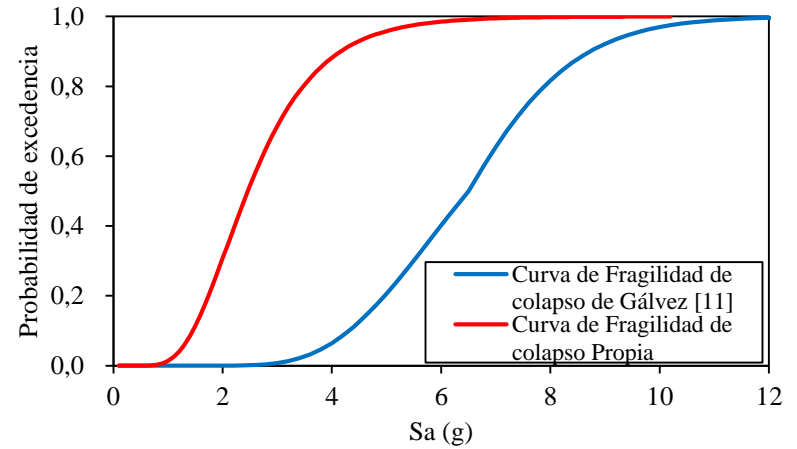
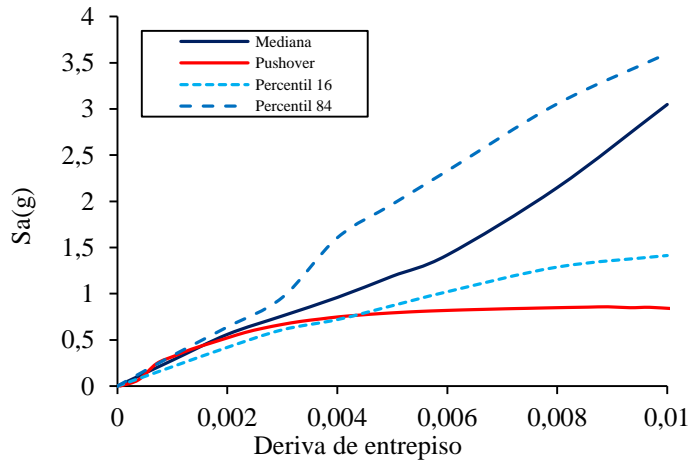
## Objectives:

Performance evaluation of building based on thin shear walls (limited ductility shear walls)

Alejandro Muñoz, Sandra Santa Cruz, César Reyes



Expected loss for a occasional event is 10% and 20% for rare event



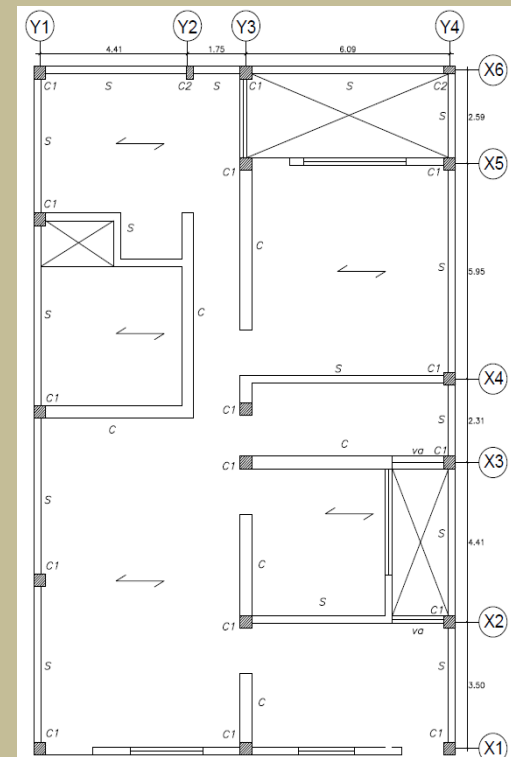
# Simplified evaluation of vulnerability functions of confined masonry houses self-built in Lima

## Objectives:

Performance evaluation of self-built Confined Masonry houses

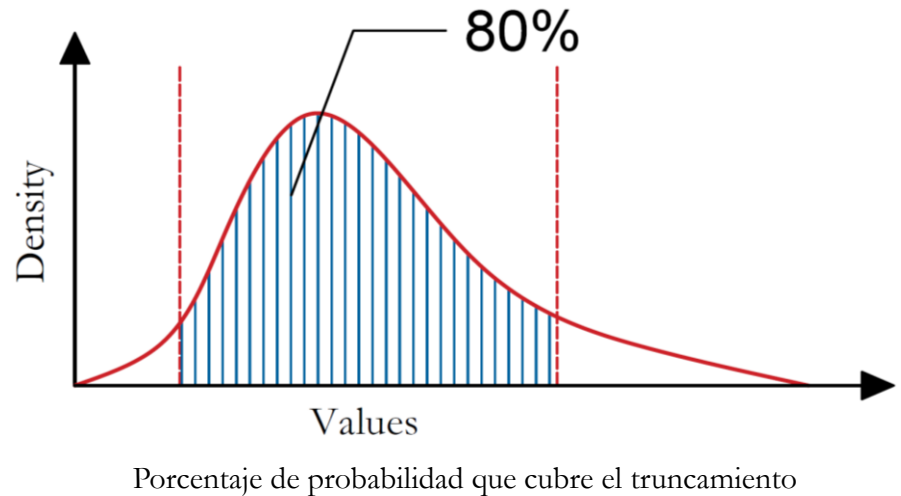
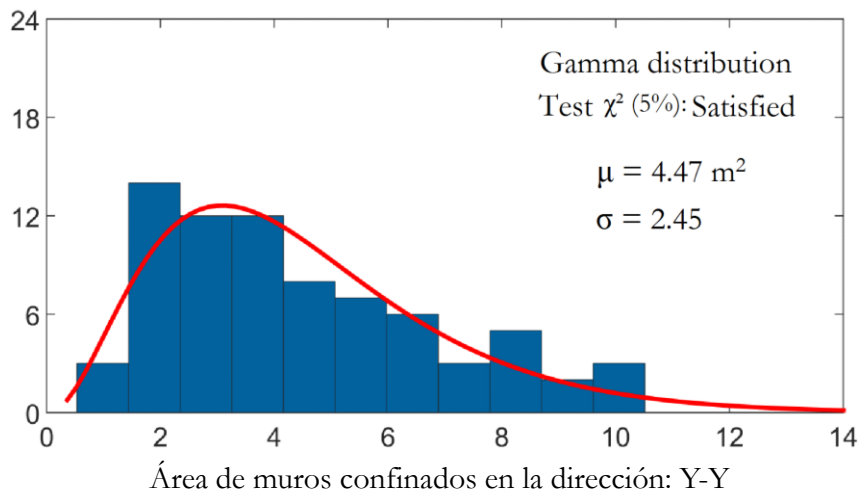
Nicola Tarque, Holger Lovón

Partially founded by CIENCIACTIVA





# We use a probabilistic model to characterize the number of walls in buildings



Las funciones de probabilidad deben ser truncadas con el fin de evitar valores improbables, o que no representen la población estudiada.

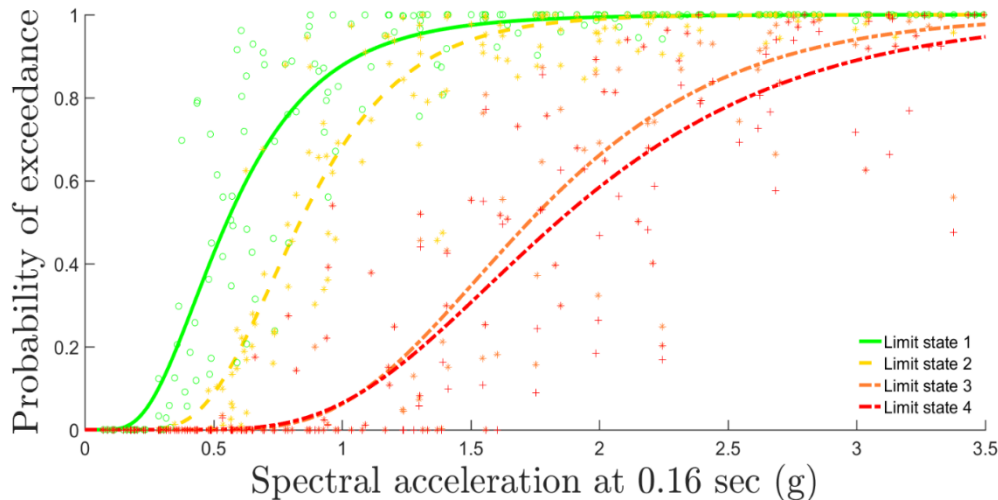


# De acuerdo a la actividad sísmica en la costa del Perú, un sismo “raro” tiene una intensidad de 1.125g Sa

Para esta intensidad se interpretarán los resultados:

Curvas de fragilidad en Sa para viviendas de 1 piso

$$R^2=0.93$$



11% de viviendas en colapso

0 % de viviendas en estado de daño 3

12 % de viviendas en estado de daño 2

60 % de viviendas en estado de daño 1

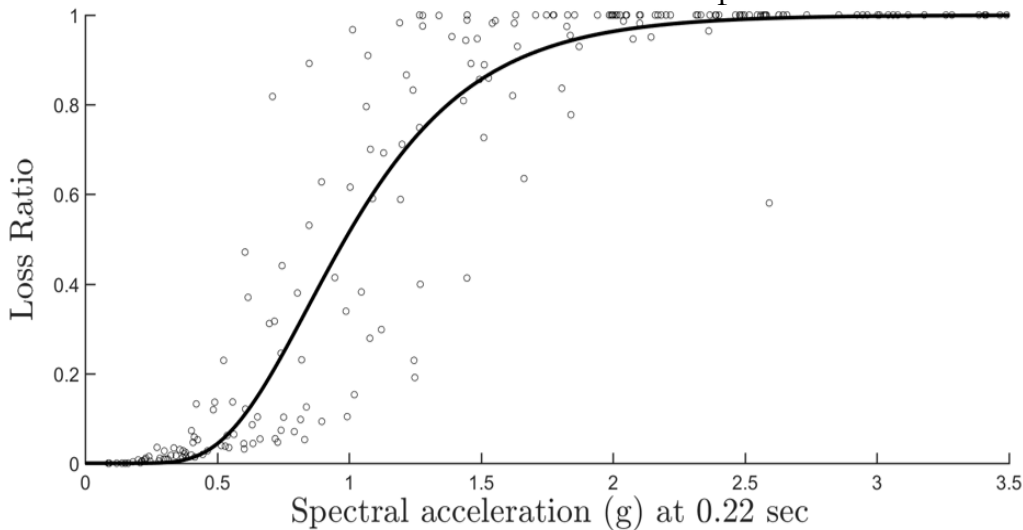
17 % de viviendas sin daño



De acuerdo a la actividad sísmica en la costa del Perú, un sismo “raro” tiene una intensidad de  $1.125g Sa$

Para esta intensidad se interpretarán los resultados:

Curvas de vulnerabilidad para viviendas de albañilería confinada de 2 pisos



Se espera 72% de ratio de daño. Esto significa que el costo de reposición de las viviendas de albañilería confinada autoconstruidas de 2 pisos será aproximadamente el 72% del costo original.

# QUANTIFICATION OF LOST MATERIAL STOCK IN BUILDINGS AFTER AN EARTHQUAKE - A CASE STUDY OF CHICLAYO, PERU

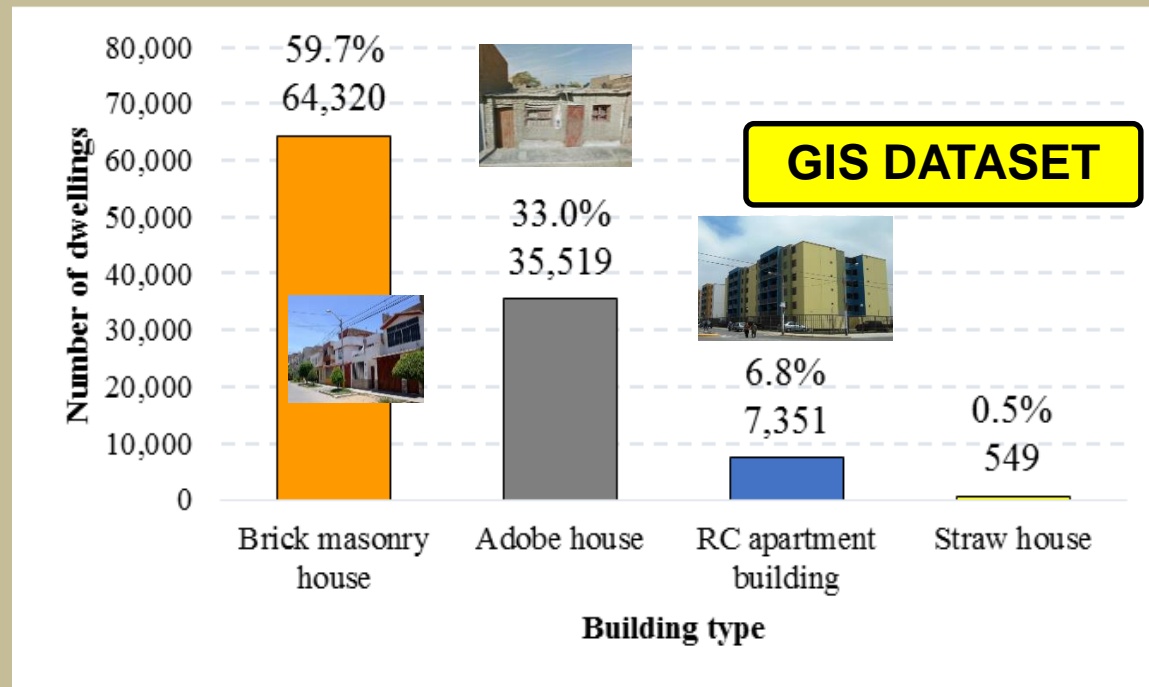
## Objectives:

1. Classify the building inventory
2. Calculate the building material stock
3. Assess the seismic risk of the buildings
4. Estimate the material losses in the city

Ramzy Kahhat, Sandra Santa Cruz, Carlos Mesta

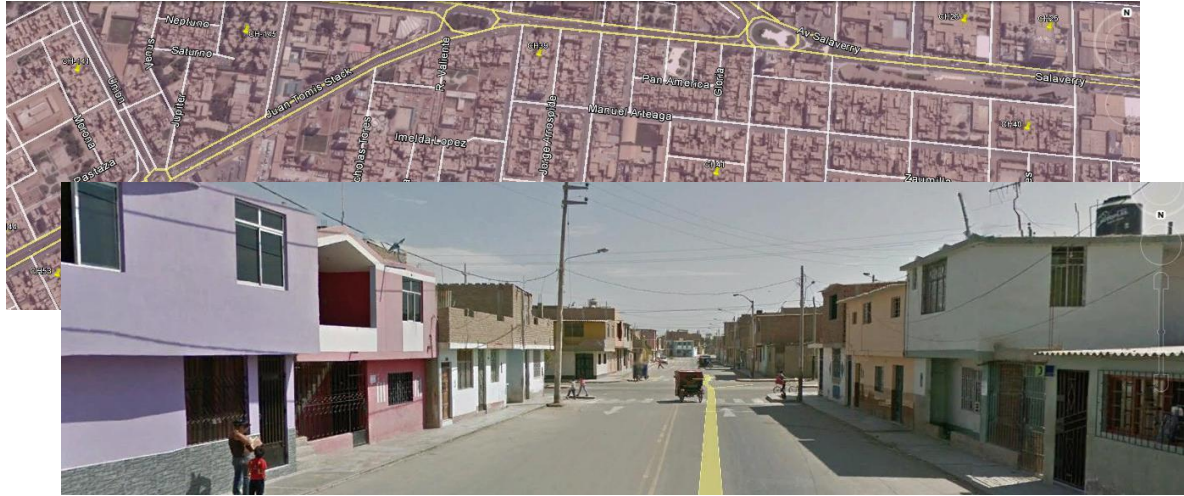
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Type of dwelling  
Predominant material on walls



***Building types in Chiclayo city (INEI, 2007)***

# The material stock (MS) in buildings is calculated using a bottom-up approach



**NUMBER OF DWELLINGS**



**GROSS FLOOR AREA (m<sup>2</sup>)**



**MATERIAL INTENSITIES (kg/m<sup>2</sup>)**

✓ Census statistics for housing

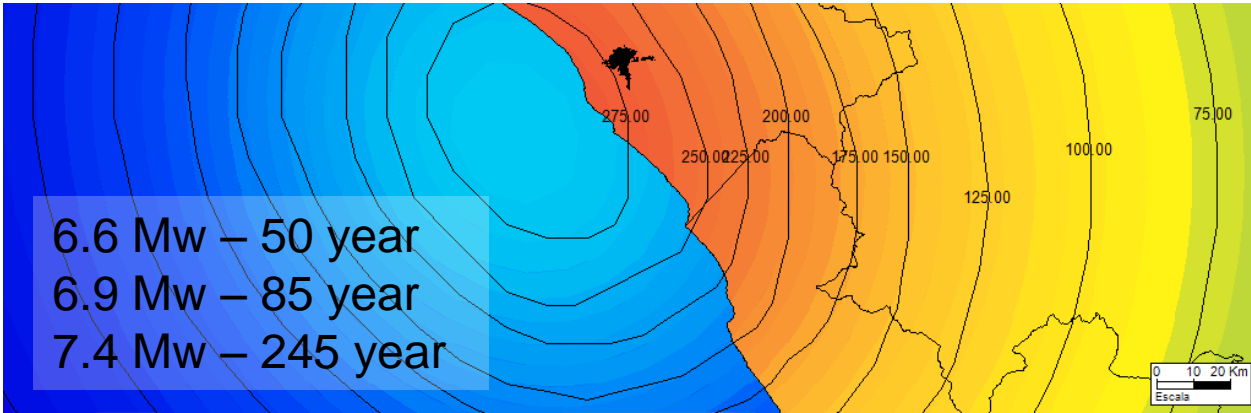
✓ Cadastral maps  
✓ Google Street View tool

✓ Construction plans & budgets  
✓ On-site investigation  
✓ Expert opinion  
✓ Literature

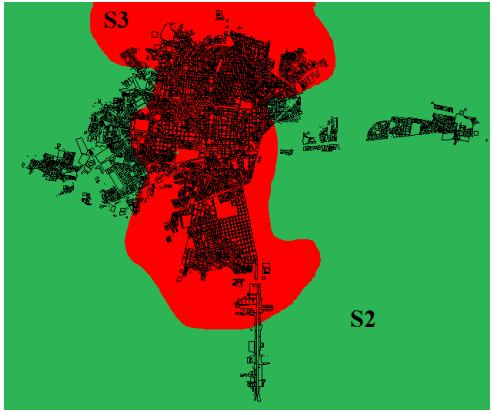


**MATERIAL STOCK IN BUILDINGS (kg)**

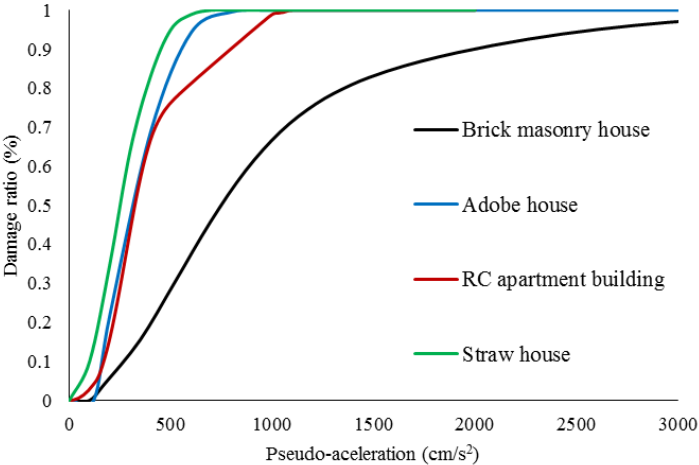
# The seismic risk assesment is performed using CAPRA-GIS, in order to estimate the structural damage of the buildings



**Seismic scenarios (IGP, 2013)**



**Soil types (INDECI, 2003)**



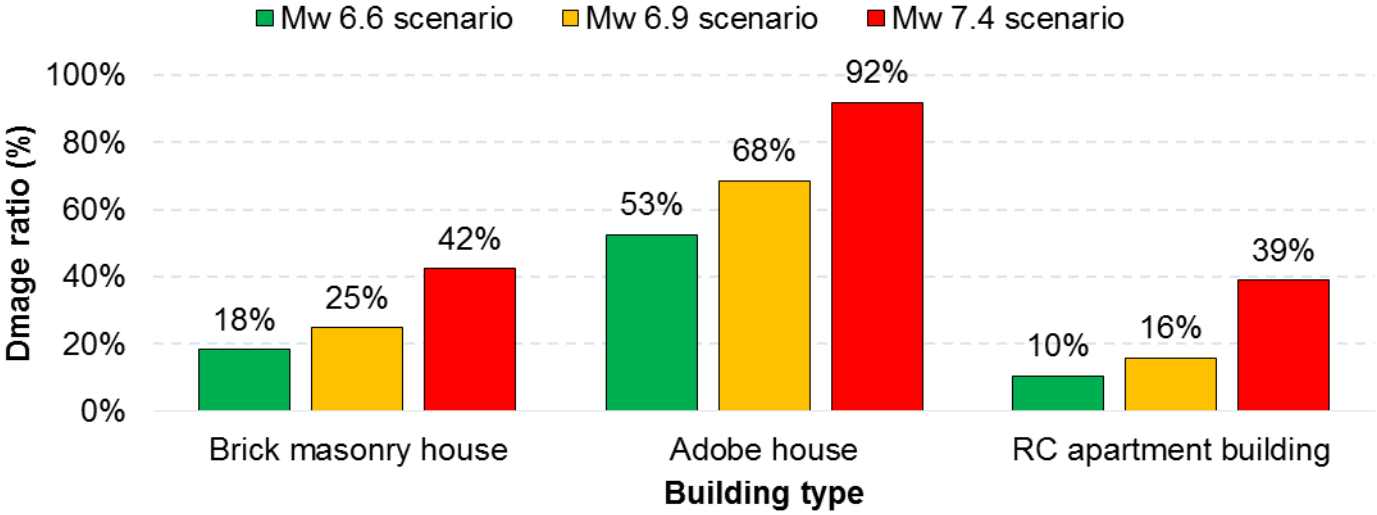
**Vulnerability functions (PUCP, 2014)**



**DAMAGE RATIO**

Slight	: 0% - 5%
Moderate	: 5% - 25%
Extensive	: 25% - 65%
Complete	: 65% - 100%

# The seismic risk assessment resulted in values of damage ratio by building type and seismic scenario



**Average values of damage ratio by building type and scenario**

# DEVELOPMENT OF A METHODOLOGY TO CHARACTERIZE AND QUANTIFY DEBRIS GENERATION AFTER A SEISMIC EVENT: A CASE STUDY OF TACNA, PERÚ

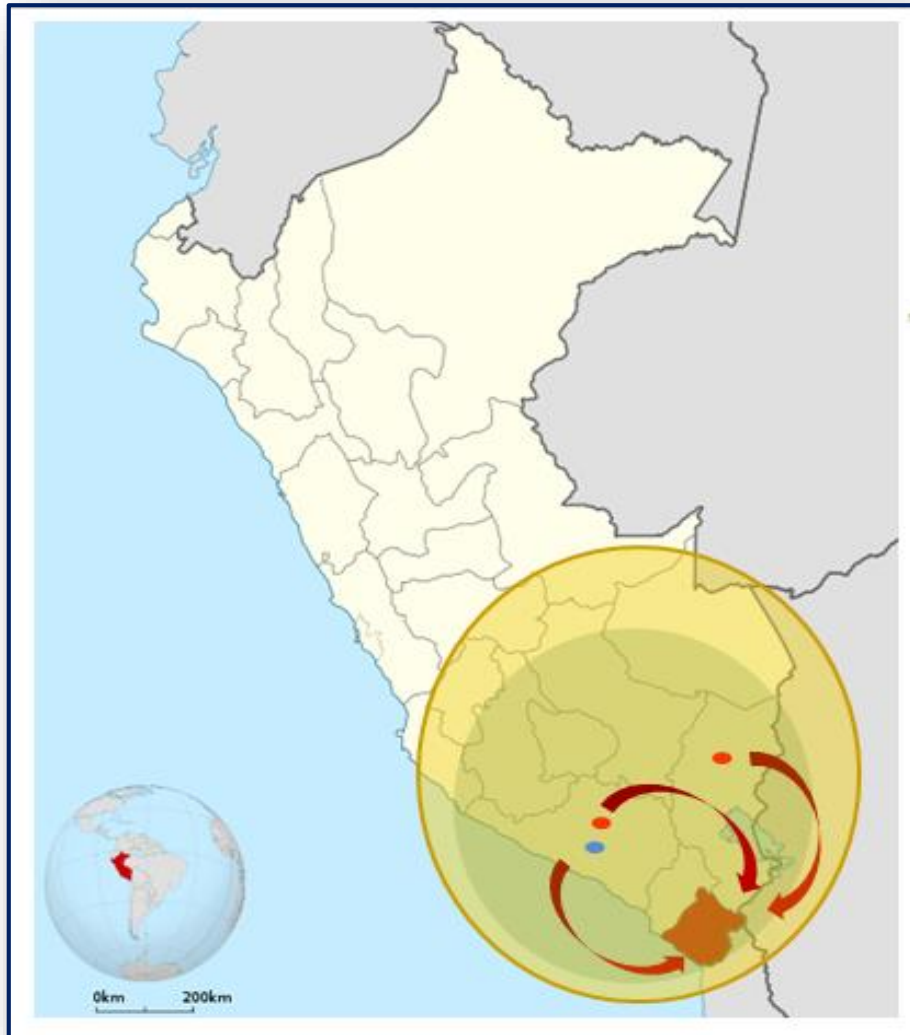
## Objectives:

Ramzy Kahhat, Sandra Santa Cruz, Samy García

Partially founded by  
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**Domestic Importation of construction materials from production location to Tacna**



“Cementera YURA”



“Aceros Arequipa”

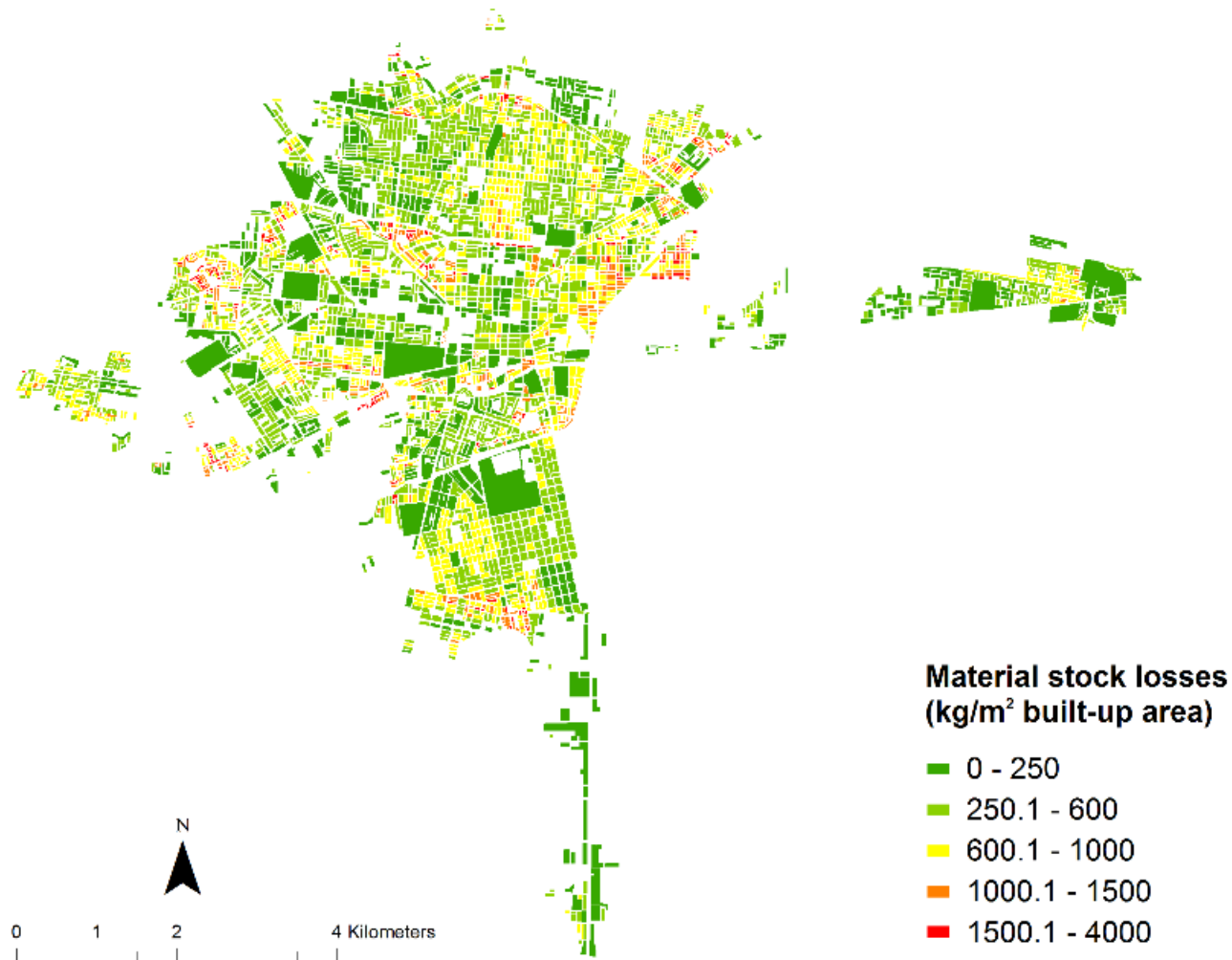
**Maximum annual production of material**

5600000 Ton. “Cementera YURA”

1259500 Ton. “Aceros Arequipa”

Distribution of materials from other regions to Tacna

The most affected zones throughout the city due to the concentration of debris can be identified on the map



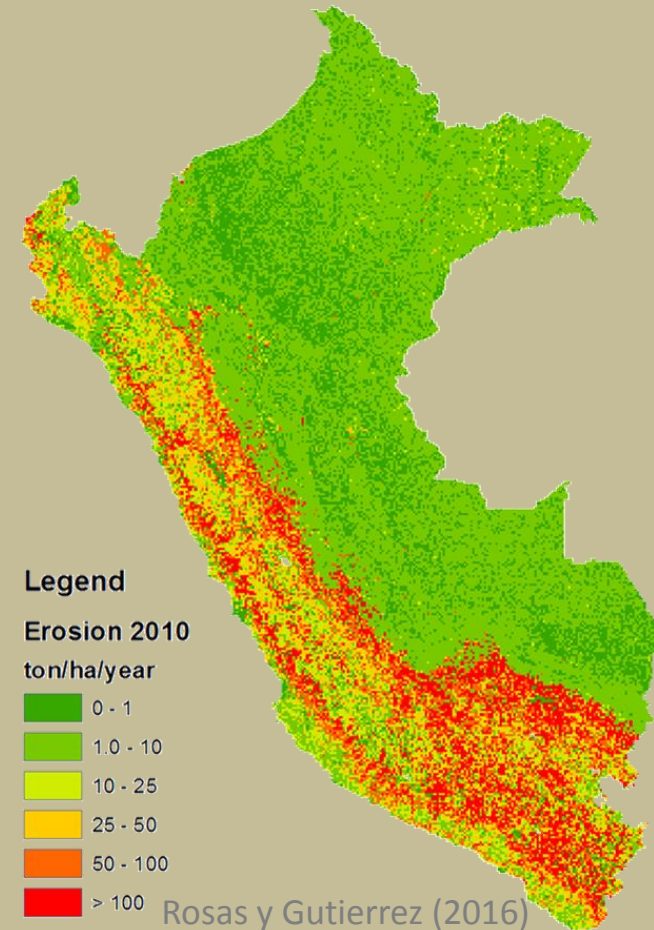
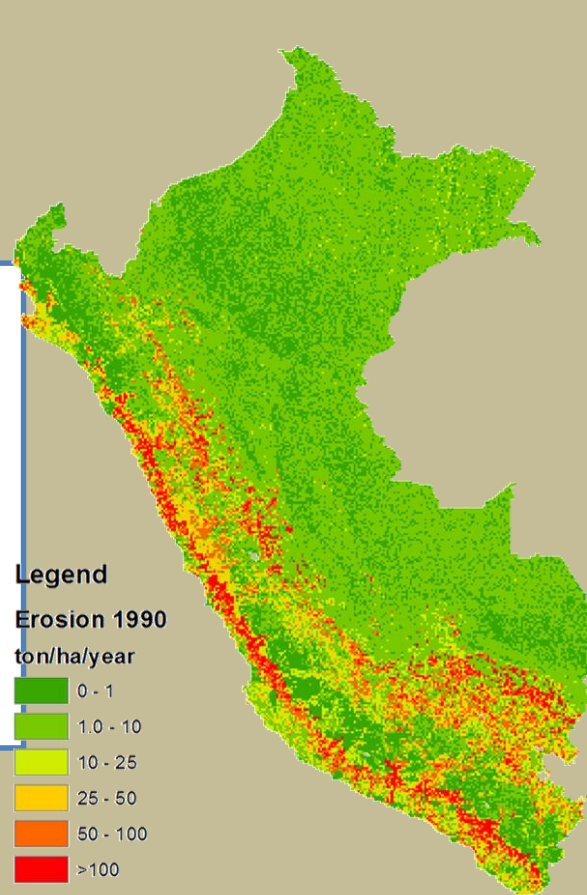
**Spatial distribution of MS losses for Mw 7.4 scenario**

# Quantification of hidric erosion in Peru and its enviromental costs

## Objectives:

Ronald Gutierrez, Miluska Rosas

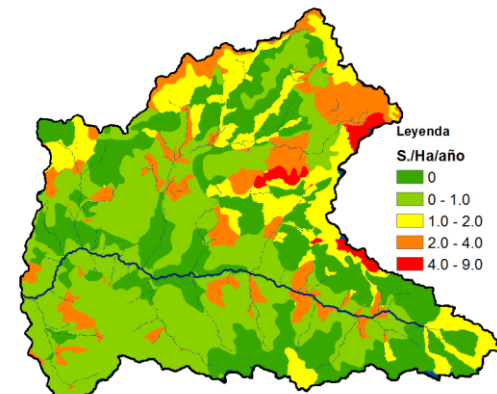
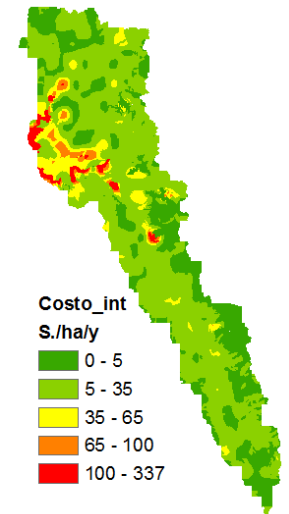
Partially founded by CIENCIACTIVA



# Las pérdidas económicas por erosión de suelos

- **Off-site:** cuantificación económica de movimiento de tierras
  - Excavación del material: 1.35 \$ / m<sup>3</sup>
  - Transporte de material: 3.0 \$ / m<sup>3</sup>
- **On-site:** costo de la pérdida de K y P en función al costo del fertilizante.

CUENCA	Costo ON-site \$USD / año	Costo OFF-site \$USD / año	Costo Total \$USD / año	Costo unitario \$USD/ Ha/año
<b>Río Santa</b>	5.2 x 10 <sup>6</sup>	7.2 x 10 <sup>6</sup>	<b>12.4 x 10<sup>6</sup></b>	11.9
<b>Río Jequetepeque</b>	0.12 x 10 <sup>6</sup>	427.2 x 10 <sup>6</sup>	<b>427.3 x 10<sup>6</sup></b>	1 288



# Conclusions

- We have obtained vulnerability functions for public schools, retrofitted schools and low-cost houses and departments.
- For an rare event  $S_a$  1.125g
  - Modern schools 7.5%
  - Retrofitted schools (first stage) 9.8%
  - Schools pre 1997 41%
  - Limited ductility shear walls 21%
  - Masonry houses 72%

- Expected annual losses in retrofitted schools pre 1997 could be reduced from 3% to 0.16% approximately
- CAPRA software was used to estimate losses and debris due to earthquakes.
- Erosion generates losses up to 1 288 \$USD/Ha/year

# *Lima Workshop on Perú Disaster Risk Insurance – Options & Implementation*



Thank you